



## BUREAU OF TRANSPORTATION STATISTICS (BTS)

The Intermodal Surface Transportation Efficiency Act (ISTEA) established the Bureau of Transportation Statistics (BTS) in DOT. The mission of BTS is to compile, analyze, and make accessible information on the Nation's transportation systems; to collect information on intermodal transportation and other areas as needed; and to enhance the quality and effectiveness of the statistical programs of DOT through research, the development of guidelines, and the promotion of improvements in data acquisition and use. Key customers served by BTS are Federal, State, and local governments; transportation-related associations and the broader

transportation community; private business and industry; and consumers.

BTS develops and disseminates transportation knowledge to enable good decisions to be made quickly, while using appropriate data. Decisions made based on the transportation information provided impact areas such as transportation infrastructure investment, policies and planning, as well as economic policy, safety, national defense, and national welfare.



## OFFICE OF INSPECTOR GENERAL (OIG)

The Inspector General (IG) Act of 1978, as amended, established the Office of the Inspector General (OIG) as an independent and objective unit within the Department. The OIG is committed to fulfilling its statutory mission and assisting the Secretary and senior department officials in achieving a visionary and vigilant DOT. As prescribed by the Inspector General Act, the OIG (1) conducts and supervises independent and objective audits and investigations relating to the programs and operations of the Department; (2) promotes economy, effectiveness, and efficiency within the Department; (3) prevents and detects fraud, waste, and abuse in Departmental programs and operations; (4) receives, and as appropriate, investigates complaints from any person or entity, including Congress; (5) reports violations of law to the U.S. Attorney General; (6) notifies the Secretary of Transportation and Congress of serious or flagrant problems in DOT or its programs; (7) reviews existing and proposed

legislation and regulations; (8) keeps the Congress and Secretary fully informed about problems and deficiencies and the necessity for and progress of corrective actions; (9) protects the identity of whistleblowers; and (10) prepares and submits semiannual reports to the Congress and Secretary.

In addition to fulfilling its statutory mission, the OIG is committed to advancing the Department's "ONE DOT" management strategy (see page 4). The OIG is fully supportive of the Secretary's three major priorities to: (1) improve safety; (2) enhance strategic investment in transportation infrastructure; and (3) achieve common sense government. The OIG designs its work to assist the Secretary in achieving these priorities as well as the five goals established in DOT's Strategic Plan.

Also, the OIG works closely with DOT officials to find solutions to problems,

identifies actions that will make DOT programs more efficient, and assists in overseeing the implementation of DOT regulations.

To assess the outcome and effectiveness of OIG performance in terms of meeting our statutory responsibilities, we have adopted all of the performance measures developed by the President's Council on Integrity and Efficiency (PCIE) and the Executive Council on Integrity and Efficiency (ECIE). In addition to the PCIE performance measures, we expanded the Advisory Functions measure to track Freedom of Information Act requests, Congressional and other requests for information, proactive

initiatives, and Congressional testimony provided. We have also added a measure to track our annual performance agreement tasks and activities in support of DOT's five strategic goals and six corporate management strategies. These measures assess the outcome and effectiveness of OIG performance in terms of meeting its statutory responsibilities and are focused on quantitative results. The OIG will continue to redefine and expand these measures in the future to capture OIG's support of the Secretary's goals, DOT's Strategic Plan, and our success in preventing problems and acting proactively. The OIG's current performance measures are listed below with FY 1998 results:

- ◆ ***Statistics Defined by the IG Act and Related Performance Information*** capture results from investigations and audits.

Investigative Results	FY 1998
Indictments	104
Convictions	120
Fines	\$5.5
Court Ordered Restitutions/Civil Judgments	\$6.5
Recoveries	\$9.8
Years Sentenced	119.5
Years Probation	220
Debarments and Other Administrative Actions	141

(Dollars in Millions)

Audit Results	FY 1998
Costs Questioned/Funds to be Put to Better Use	\$1,072.3
Management Decisions to Seek Recoveries	\$742.1
CFO Audit Adjustments	\$98,084.3

(Dollars in Millions)

- ◆ **Non-Monetary Program Improvements** measure implementation of the IG Act requirement to provide policy direction for Agency programs and operations.

Non-Monetary Program Improvements	FY 1998
Recommendations Issued	226
Recommendations Resolved	268

- ◆ **Performance Agreement Results** measure the implementation of our annual performance agreement tasks and activities in support of DOT's five strategic goals and six corporate management strategies.

Performance Agreement Results	FY 1998
Number of Performance Agreement Items	33
Number Initiated	33
Number Completed	23

- ◆ **OIG Advisory Functions** measure implementation of the IG Act requirement to keep the Secretary and Congress informed of problems and deficiencies, review existing and proposed legislation and regulations, as well as measuring requests for technical assistance by DOT agencies, participation in PCIE-initiated projects, Freedom of Information Act requests, Congressional and other requests for information, proactive initiatives, and Congressional testimony provided.

Advisory Functions	FY 1998
Proactive Functions Completed (PCIE Projects, Training DOT employees)	93
Congressional Testimony	14
Inquiries Received	446
Inquiries Completed	384
FOIA Requests Received	139
FOIA Requests Processed	200
Legislation Reviewed	61
Regulations Reviewed	67

- ◆ **Hotline Complaints** show the implementation of the IG Act requirement to receive and investigate complaints or information concerning possible violations of laws, rules or regulations, waste, abuse, or dangers to the public health and safety.

Hotline Results	FY 1998
Hotline Complaints Received	482
Reviewed by OIG	171
Referred to Operating Administrations or Other Agencies*	311

\* OIG tracks the disposition of these complaints